

Stocks and Shares to Cash ISA transfer form



Protected



To arrange your transfer, please complete this form and return it to us.

Your personal details

Please ensure this section is completed in full. Where we've included information about you, please check this is correct. If anything needs amending, please make the changes to the form as appropriate.

If this section isn't complete, we won't be able to process your application.

Title:	<input type="text"/>	Tel mobile:	<input type="text"/>
First Name(s):	<input type="text"/>	Tel home:	<input type="text"/>
Surname:	<input type="text"/>	Email:	<input type="text"/>
Address:	<input type="text"/>	<input type="text"/>	
	<input type="text"/>	Nationality:	<input type="text"/>
	<input type="text"/>	Country of residence:	<input type="text"/>
Postcode:	<input type="text"/>	National Insurance number:	
Date of birth:	<input type="text"/>	<input type="text"/>	<input type="text"/>

Information about the ISA you want to transfer

Name of your existing provider:	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
Account Number/ Reference No:	<input type="text"/>

Please note

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply, please check with your existing stocks and shares ISA provider before completing this form.

Please answer the following questions about the ISA you want to transfer

1. Have you subscribed to this stocks and shares ISA in the current tax year? Yes ☐ No ☐
2. Do you want to transfer all or part of this Stocks and Shares ISA? All ☐ Part ☐

If you answered All this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety. You do not need to answer any further questions on this page - please go directly to Section entitled 'Your Leek Building Society Cash ISA' below.

If you answered Part please continue to answer questions 3 to 6 below

3. If you answered Yes to question 1, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Yes ☐ No ☐

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

4. If you only want to transfer your subscriptions from the current tax year, *tick here* ☐
or
If you only want to transfer all your subscriptions from previous tax years, *tick here* ☐
or
If you only want to transfer part of your subscriptions from previous tax years (as well as investments relating to current year subs if you answered Yes to question 3), *tick here then provide sale instructions by answering Question 5.* ☐

5. Stocks, Shares and/or Funds that you wish to sell

Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting this form. You may be contacted by your existing provider to agree what to sell. Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Leek Building Society. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g., ISIN, Sedol, Cuip. etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

6. Uninvested cash to be transferred

Please state how much of your uninvested cash balance in your stocks and shares ISA you want to transfer:

£

or

If you want to transfer all your uninvested cash balance, *tick here*

☐

Your Leek Building Society Cash ISA

Account Number:

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Open New Account – Please tick box if required

☐

OFFICE USE ONLY

If your transfer is to a new account, please ensure an ISA application form is completed at the same time as this form.

Transfer Authority

I authorise my existing ISA provider as specified above to sell my investments and transfer the ISA in cash (account number above) to Leek Building Society.

I authorise my existing ISA provider to provide Leek Building Society with any information, written or non-written, concerned the stocks and shares ISA and to accept any instructions from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either:

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer

☐

or

2. Depending on the terms and conditions, carry out the transfer as soon as possible. I accept any consequential loss of income, capital or changes that may be applied.

☐

Signature:

Date:

Transfer Acceptance (this section is completed by Leek Building Society)

We, Leek Building Society, are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met:

The transfer proceeds are made up of cash deposits only.

For the purposes of the transfer of the ISA wrapper under ISA regulations, the date opposite will be the transfer date. We must receive all the transfer proceeds no later than:

DD	MM	YY
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Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than:

£	
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Residual Income

We do not accept residual income payments.

or

We must receive all residual income payments no later than:

DD	MM	YY
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Any residual income received after this date must be paid away to the customer.

We do not accept residual payments if they are below £ These funds should be paid away to the customer.

The name of the new ISA provider is Leek Building Society

Leek Building Society Customer Service Centre, 50 St. Edward Street, Leek ST13 5DL
t: 0808 281 9308

Branches throughout Staffordshire, Cheshire, Shropshire and Derbyshire

Leek Building Society is a trading name of Leek United Building Society, which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority with firm reference number 100014. Our details can be found on the Financial Services Register at <https://register.fca.org.uk/s/>.
Leek United Building Society's address for service is 50 St. Edward Street, Leek, Staffordshire ST13 5DL.